

## Review Questionnaire

Client's Name: \_\_\_\_\_ Target Review Date: \_\_\_\_\_

### Goals and Objectives

Do you feel that any revisions are appropriate relative to your goals and objectives (retirement age, retirement lifestyle, children's educational objectives)?

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What about capital expenditures for the next few years? Are you aware of any major expenditures that we need to plan for (new car, renovations, special holiday, etc.)?

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### Net Worth

We track the investment assets you have with us closely. We only require an update on your bank cash and any other items not tracked on our consolidated holding statements (stock, savings, residence values, etc.)

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What about your liabilities? Credit card balances and other loan balances (Mortgages/Loans/LOC)

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**Cash Flow**

Have there been any significant changes in your income since your last report?

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What about your living expenses, any significant changes? Would it be appropriate for us to assume each of your non-fixed living expenses increased with inflation since your last review?

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**Tax**

Have there been any changes in your tax situation since your last review that we might not be aware of?

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**Employee Benefits**

Have any changes been made to your employee benefits through your employer since your last review?

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Are you aware of any changes to your pension plan benefits since your last review?

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**General Questions:**

Do you have any concerns that you feel haven't been fully addressed:

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Do you have any other things you'd like to discuss that may not be routinely covered?

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Is there any other information that you can think of that would be important for us to discuss prior to doing your review?

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To ensure the accuracy of the information used in your review, it would be helpful if you could furnish us with copies of a few things (either by mail or by fax).

- Most recent tax returns
- Most recent tax assessments
- Copies of last three most recent pay stubs
- Updated benefit booklets
- Updated pension booklets
- Most recent pension statement
- Other \_\_\_\_\_

**Notes:**

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